**JC07** Rec'd PCT/PTO 18 APR 2005€

Approved for use through 3/31/2007. OMB 0651-0021

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## ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

20.

M

PCT/IB/308

095309.56173US

U.S. APPLICATION NO. (If known, se INTERNATIONAL APPLICATION NO INTERNATIONAL FILING DATE DATE CLAIMED PCT/EP2003/010459 19 September 2003 (19.09.2003) 19 October 2002 (19.10.2002) TITLE OF INVENTION **DEVICE FOR CONTROLLING AN ENGINE OR A GEARBOX** APPLICANT(S) FOR DO/EO/US Werner MEYER, Bernd-Heinrich SCHMITFRANZ Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) 3. and (21) indicated below. 4. The US has been elected (Article 31).  $\bowtie$ 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. b. M Is not required, as the application was filed in the United States Receiving Office (RO/US). C. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  $\bowtie$ is attached hereto.  $\boxtimes$ a. has been previously submitted under 35 U.S.C. 154(d)(4). h. 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). a. b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. C. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 10.  $\boxtimes$ 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. 冈 A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 冈 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19.  $\boxtimes$ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Other items or information: Two (2) sheets of drawings showing Figures 1-2; International Search Report; Forms PCT/IB/304 and

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11.

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PTO-1390 (Rev. 02-2005)

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U.S. APPLICATION NO. (if known, specific 5 15) 8 STERNATIONAL APPLICATION NO. PCT/EP2003/010459							ATTORNEY'S DOCKET NUMBER		
The following fees have been submitted							095309.56173US		
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	23					00	\$300.00		
22. Examination fee									
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)									
All other situations\$200.00							\$200.00		
23. Search fee									
Search fee (37 CFR 1.44(a)(2)) has been paid on the international application to									
the USPTO as an International Searching Authority									
All other situations\$500							\$400.00		
TOTAL OF 21, 22 AND 23 =							\$900.00	7	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is									
\$250 for each ac	lditional 50 s		or fraction thereof.	•					
Total Sheets Ex	hoote   Evtra Shoote		ach additional 50 or fraction and up to a whole number)		RA	TE			
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Surcharge of \$130.00		g the oath or de	eclaration later than 30 mg	onths;f					
claimed priority date (			<del>,</del>	. 734	- (4 ) (4 ) (4 ) (4 ) (4 ) (4 ) (4 ) (4		\$130.00		
CLAIMS	NUMBER FILED		NUMBER EXTRA		RATE				
ິງ Total claims		12- 20			×	50	\$		
Independent claims	claims 01- 3				x	200	\$		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + 360							\$		
TOTAL OF ABOVE CALCULATIONS =							\$		
Applicant claims	small entity s								
SUBTOTAL =							\$130.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the							\$		
earliest claimed priority date (37 CFR 1.492(i))+  TOTAL NATIONAL FEE =							\$1,030.00		
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be							\$1,030.00		
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property+							\$		
TOTAL FEES ENCLOSED =							\$1,030.00		
							Amount to be		
		refunded: Amount to be	\$						
							charged:	\$	
a. A check in the amount of \$1,030.00 to cover the above fees is enclosed.									
b. Please charge my Deposit Account No. 05-1323 (Attorney Docket No. 095309.56173US) in the amount of \$\(\text{to cover the above fees.}\)  A duplicate copy of this sheet is enclosed.									
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-1323 (Attorney Docket No. 095309.56173US). A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed									
and granted to restore the International Application to pending status.									
SEND ALL CORRESPONDENCE TO:									
Crowell & Moring, ŁLP					Dary R Edurarde				
Intellectual Property Group					SIGNATURE CAMBURACE				
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Washington, D.C. 20044-4300 NAME									
Tel. No. (202) 624-2500 31,824 REGISTRATION N						TION NUT	ADED		
Fax No. (202) 628-8844 REGISTRATION NO. April 18, 2005							VIDER		
DATE									
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Confirmation No. :

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

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First Named Inventor

Werner MEYER

Filed

April 18, 2005

TC/A.U.

Examiner

095309.56173US

Docket No. Customer No.

: 23911

Title

: Device for Controlling an Engine or a Gearbox

## SUBMISSION OF SUBSTITUTE SPECIFICATION

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

April 18, 2005

Sir:

Attached are a Substitute Specification and a marked-up copy of the original specification. I certify that said substitute specification contains no new matter and includes the changes indicated in the marked-up copy of the original specification.

Respectfully submitted,

Gary R. Edwards

Registration No. 31,824

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